



INTERSTATE SECURITIES LTD

(MEMBER OF THE NIGERIAN STOCK EXCHANGE)

RC: 69487

CORPORATE ACCOUNT OPENING FORM

Full Name of Company	
Company Short Name	Date of Incorporation/Registration
Place of Incorporation	RC Number
Business Sector	Tax Identification Number
Company Type <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Others (specify)	
Company Address	
Postal Address	
Telephone	Email
Fax	Website Address
Average Annual Turnover (NGN) <input type="checkbox"/> Less than 10m <input type="checkbox"/> 10m-50m <input type="checkbox"/> Above 50m	Purpose of Investment
	Source of Investment Fund

Bank Account Details (Your Bank Account Name Details Should Correspond with CSCS Account Name)

Bank Name	Branch/Address		
Account Name	Account Number		
Account Type	Sort Code	BVN	Date of Opening Bank Account

Principal Contact Person (1)

Name
Email & Mobile Number
Signature & Date

Principal Contact Person (2)

Name
Email & Mobile Number
Signature & Date

Authorized Signatory (1)

Name
Designation
Class <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Specimen Signature and Date

Affix Current
Passport
Photograph

Authorized Signatory (2)

Name
Designation
Class <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Specimen Signature and Date

Affix Current
Passport
Photograph

Authorized Signatory (3)

Name
Designation
Class <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Specimen Signature and Date

Affix Current
Passport
Photograph

Authorized Signatory (4)

Name
Designation
Class <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Specimen Signature and Date

Affix Current
Passport
Photograph

Mandate/Signing Instruction

Questionnaire

Please state if any of your Directors, Signatories or Major Shareholders have any Political Position

1. Name:	Date: From	To
2. Name:	Date: From	To
3. Name:	Date: From	To
4. Name:	Date: From	To

Order Management Service (OMS) Details

Intertrade OMS is an online stockbroking service powered by Interstate Securities Limited, that allows investors to buy and sell Equities / Bonds from the comfort of their Home, Office or anywhere in the world, provided there is access to internet service.

Category of Users: (a) Broker Trained / Skilled Client (for direct trade without any input from our in-house broker) (b) Indirect non Broker Trained/Skilled Client

For (a), please provide CIS and NSE ATS Certification

Overall Investment Objectives: Capital Preservation Retirement Income Growth Other(please specify)

Risk Tolerance: Conservative Moderately Conservative Moderately Aggressive Moderately Aggressive

Mode of Payment:

Attestation

We attest that all the information provided herein is accurate and would notify you to update my / our records where any change occurs.

Compliant Management Policy

We value our clients and endeavor to execute all instructions and mandates to the letter. We recognize however, that we are not infallible and operate in a market comprising of other operators, whose input is often required to give satisfactory effect to our clients' requests.

Our Policy provides that any complaint should be sent to our mailbox info@interstatesecurities.com or be letter delivered to our Head Office at, **14B Keffi Street, South-West Ikoyi, Lagos or Ibadan Branch, Ile - Itesiwaju, Oba Adebimpe Road, Ibadan.**

Complaints sent by E-mail will be acknowledged within 2 (Two) working days and letters, within 5 (five) working days. Complaints will be resolved within 10 (Ten) working days.

However, where complaints remain unsolved and clients are not satisfied with our efforts or that of another Capital Market Operator, including Registrars and others, the matter can be escalated by the Client or us to the relevant competent authority, together with supporting documentation.

Signature and Date

Signature and Date

For Official Purpose Only

Delivered by	Email	Company Representative	Others (Specify)
Document Received By (Account Officer's Name)			
Location/Branch			
Initial Amount Deposited (N)			

Documentation Checklist

1. Completed Account Opening Form		8. Board Resolution/Management Approval. The Board Resolution/Management Approval should state	
2. Passport Photograph		a. Approval to open a stock broking account with ISL	
3. Photocopy of Identification Documents for all Directors and Signatories (International Passport/Valid Driver's License/ National Identity Card)		b. The List of Authorized signatories	
4. Proof of Address for all Directors and Signatories (Copy of recent Utility Bill)		9. Latest Financial Statements	
5. Email Indemnity		10. Particulars of Shareholders with minimum of 5% shareholdings	
6. Standard Terms and Conditions		11. Particulars of Directors Form CAC7 (LTDs only)	
7. Copy of Certificate of Incorporation/Evidence of Business Registration		12. Return on Allotment of Shares Form CAC2 (LTDs only)	
15. Other Documents		13. Memorandum & Article of Association Constitution Partnership Deed	
		14. Source Document for the fund deposited	

(a)

(b)

(c)

Documentation Status	Complete	Incomplete
Risk Rating	Low	High
Account Opening Authorized By	Account Officer	
Date		
CSCS Number	CHN	